

2009 - Ill, N (Chicago) Branch: Chicago Defendant: 696

This report presents detailed information about an individual case referred for prosecution on Sep 13, 2004 in the Northern District of Illinois. According to the office of the United States Attorney for that district, the investigative agency that referred the case was the Federal Bureau of Investigation, the lead charge was '18 USC 1344 - Bank Fraud' and the Justice Department program category for it was Fraud-Financial Institution.

The Assistant U.S. Attorney who was assigned the lead role in the case was Carolyn McNiven. On Oct 5, 2006 an Indictment was filed in the U.S. District Court. The case was assigned to Federal District Court Judge James Block Zagel.

Following a guilty plea, the defendant was sentenced to prison for 0 years, 90 months, and 0 days on Dec 22, 2011 by Federal District Court Judge James Block Zagel.

For additional details, see tables which follow.

Case Overview

Overview	
District	Northern District of Illinois
Branch	Chicago
US Role	U.S. is Plaintiff
Priority	None
Received Date	13SEP2004
Status	Completed
Date Closed	10APR2013
Investigative Agency	Federal Bureau of Investigation
Program Category	Fraud-Financial Institution
Litigation Responsibility	Case Handled Exclusively by U.S. Attorneys Office

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Offense and Defendant**

This table contains data concerning the offense (for example, lead charge) and the defendant (whether an individual, a business or a property). The table may also include detention and bond information, including the type and amount of bond. Note that not all information is available for all cases.

Offense and Defendant

Offense	
Lead Charge	18 :01344
Offense From	01-JAN-2000
Offense To	01-DEC-2005
Defendant	
Type	Individual
Role	Defendant
Job Position	Unknown
Gender	Male
Country	United States
Home City	Winnetka
Home State	IL
Home Zip Code	60093

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Stages in Case Handling

This table contains data concerning the stages in the handling of the case. Each stage may indicate the court, if any, in which the case was handled as well as the date on which the case was received or filed, the disposition of the case at that stage (including date, type and reason), and the role played by the U.S. in the case. Note that not all information is available for all cases.

Stages in Case Handling

		Disposition			
Stage	Received or Filing Date	Date	Type	Reason	US Role
Not in Court	13SEP2004	05OCT2006	New filing	Indictment Filed	U.S. is Plaintiff
District Court	05OCT2006	22DEC2011	Guilty	Plea (District Court)	U.S. is Plaintiff

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Prosecutor, Judge and Opposing Counsel**

This table contains data concerning persons other than the defendant involved in the case. Other persons include prosecutors, judges and opposing counsel. Included for each person is role, name (if available), position, title and dates during which the person was associated with the case.

Prosecutor, Judge and Opposing Counsel

	Name	From	To	Position or Decision	Title
Prosecutor	McNiven, Carolyn	13SEP2004	06JUL2010	Lead Attorney	Assistant United States Attorney
	McNiven, Carolyn	05OCT2006	06JUL2010	Lead Attorney	Assistant United States Attorney
	Barsella, Laurie	06JUL2010	-	Lead Attorney	Assistant United States Attorney
	Fox, Brandon D.	06JUL2010	-	Lead Attorney	Assistant United States Attorney
	ILN_531	13SEP2004	01JUN2005	Victim Witness Coordinator	Paralegal
	ILN_648	01JUN2005	-	Victim Witness Coordinator	Victim Witness Coordinator
	ILN_648	05OCT2006	-	Victim Witness Coordinator	Victim Witness Coordinator
	Blakey, John R.	08DEC2006	06JUL2010	Co-Counsel	Assistant United States Attorney
	Blakey, John R.	08DEC2006	06JUL2010	Co-Counsel	Assistant United States Attorney
	Fox, Brandon D.	03JAN2008	06JUL2010	Co-Counsel	Assistant United States Attorney
Oppose Counsel	Retained	31MAY2007	-	1	-
Judge	Zagel, James Block	05OCT2006	-		-

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Charges Filed Against Defendant**

This table contains details of the charges faced by the defendant. For each charge, the information may include the instrument used (for example, indictment or citation), the nature of the charge (for example, felony, misdemeanor, or petty offense) and, if appropriate, the disposition, including date, type and reason.

Charges Filed Against Defendant

Instrument		Charge				Disposition		
Type	Filing Date	Type	Title and Section	Sub.	Count	Date	Type	Reason
Indictment	05OCT2006	Felony	18 USC 1343 - Fraud by wire, radio, or television		1	31MAY2007	New filing	Superseding Indictment (from District Court)
	05OCT2006	Felony	18 USC 1343 - Fraud by wire, radio, or television		2	31MAY2007	New filing	Superseding Indictment (from District Court)
Superseding Indictment	31MAY2007	Felony	18 USC 1343 - Fraud by wire, radio, or television		1	13DEC2007	New filing	Superseding Indictment (from District Court)
	31MAY2007	Felony	18 USC 1343 - Fraud by wire, radio, or television		2	13DEC2007	New filing	Superseding Indictment (from District Court)
	31MAY2007	Felony	18 USC 1343 - Fraud by wire, radio, or television		3	13DEC2007	New filing	Superseding Indictment (from District Court)
	13DEC2007	Felony	18 USC 1341 - Mail Fraud - Frauds and swindles		2	01OCT2010	Dismissed without prejudice	By Government from District Court no DOJ Authorization
	13DEC2007	Felony	18 USC 1343 - Fraud by wire, radio, or television		1	01OCT2010	Guilty	Plea (District Court)
	13DEC2007	Felony	18 USC 1343 - Fraud by wire, radio, or television		3	01OCT2010	Dismissed without prejudice	By Government from District Court no DOJ Authorization
	13DEC2007	Felony	18 USC 1343 - Fraud by wire, radio, or television		4	01OCT2010	Dismissed without prejudice	By Government from District Court no DOJ Authorization
	13DEC2007	Felony	18 USC 1343 - Fraud by wire, radio, or television		5	01OCT2010	Dismissed without prejudice	By Government from District Court no DOJ Authorization
	13DEC2007	Felony	18 USC 1343 - Fraud by wire, radio, or television		6	01OCT2010	Dismissed without prejudice	By Government from District Court no DOJ Authorization
	13DEC2007	Felony	18 USC 1343 - Fraud by wire, radio, or television		7	01OCT2010	Dismissed without prejudice	By Government from District Court no DOJ Authorization

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Charges Filed Against Defendant**

Instrument		Charge				Disposition		
Type	Filing Date	Type	Title and Section	Sub.	Count	Date	Type	Reason
	13DEC2007	Felony	18 USC 1343 - Fraud by wire, radio, or television		8	01OCT2010	Dismissed without prejudice	By Government from District Court no DOJ Authorization
	13DEC2007	Felony	18 USC 1343 - Fraud by wire, radio, or television		9	01OCT2010	Dismissed without prejudice	By Government from District Court no DOJ Authorization

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Sentence Information

This table contains data concerning sentencing of the individual convicted in the current case (for example, incarceration, probation, supervisory release and fines). The table may also include information about restitution ordered, if any.

Sentence Information

Sentencing	
Sentencing Date	22DEC2011
Sentencing Judge	Zagel, James Block
Incarceration Type	Concurrent
Incarceration Days	0
Incarceration Months	90
Incarceration Years	0
Probation Days	0
Probation Months	0
Probation Years	0
Supervisory Release Days	0
Supervisory Release Months	0
Supervisory Release Years	0
Special Assesment	\$100.00
Total Revoked Days	0
Total Revoked Months	0
Total Revoked Years	0
Restitution	
Restitution Amount	\$4,120,756.00
<i>Restitution Type</i>	<i>Ordered</i>
Recipient	Business
Amount	\$4120756.00
Liability	Individual

Transactional Records Access Clearinghouse

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